

AOP 2-29-12-01 (Revised 5/7/2013)  
RESEARCH MISCONDUCT POLICY AND PROCEDURES

1 INTRODUCTION

1.1 General Policy

Research is an integral part of Troy University's mission. All TROY faculty, staff and students must maintain high professional and ethical standards in all research activities. It is also the responsibility of all TROY faculty, staff and students to report reasonable

Scope

This policy is based on the Public Health Service (PHS) Policies on Research Misconduct (42 C.F.R. 93) as well as guidelines from professional associations such as the American Psychological Association. This policy applies to all TROY faculty, staff, or students who are directly affiliated with TROY during the period in which research is conducted. "Faculty" is defined as any individual, whether full-time or adjunct, teaching at least one class for TROY during the research period. A person is considered a TROY student if they are enrolled in at least one class during the study period.

1.3 Definitions

- (a) Research Misconduct is fabrication, falsification, or plagiarism in proposing, performing, or reviewing research, or in reporting research results. Research misconduct does NOT include honest error or differences of opinion.
- (b) Fabrication is making up data or results and recording or reporting them.
- (c) Falsification is manipulating research materials, equipment, or processes, or changing or omitting

(h) Respondent means the person against whom an allegation of research misconduct is directed who

## 2.2 Pre-Inquiry procedures

- (a) If TROY faculty, staff or students acting in good faith have a reasonable suspicion of research misconduct then they must report their suspicions in writing to the Research Integrity Officer (RIO) (as the RIO). This report must include the

(d) The RIO will take reasonable and practical steps to obtain custody of all the research records and evidence needed to conduct the research misconduct proceeding, including the following:

a.

## 2.4 Investigation procedures

(a) If the results of the Inquiry show that an Investigation is warranted, one shall commence within 30 days of this finding.

(b)

- x For each separate allegation of research misconduct ~~identifying~~ during the Investigation, a finding as to whether research misconduct did or did not occur, and if so, the following:
  - Identification of whether the research misconduct was falsification, fabrication, or plagiarism, and if it was intentional, knowing, ~~in~~ reckless disregard;
  - A summary of the facts and the analysis which support the conclusion along with a consideration of the merits of any reasonable explanation by the respondent;
  - Specific identification of funding support;
  - Identification of any ~~public~~ ~~publication~~

### 3 SPECIAL CIRCUMSTANCES

At any time during a research misconduct proceeding, TROY will notify the appropriate federal/state/private funding agencies immediately if it has reason to believe that any of the following conditions exist:

- Health or safety of the public is at risk, including an immediate need to protect human or animal subjects.
- 
- Research activities

## 6 REFERENCES